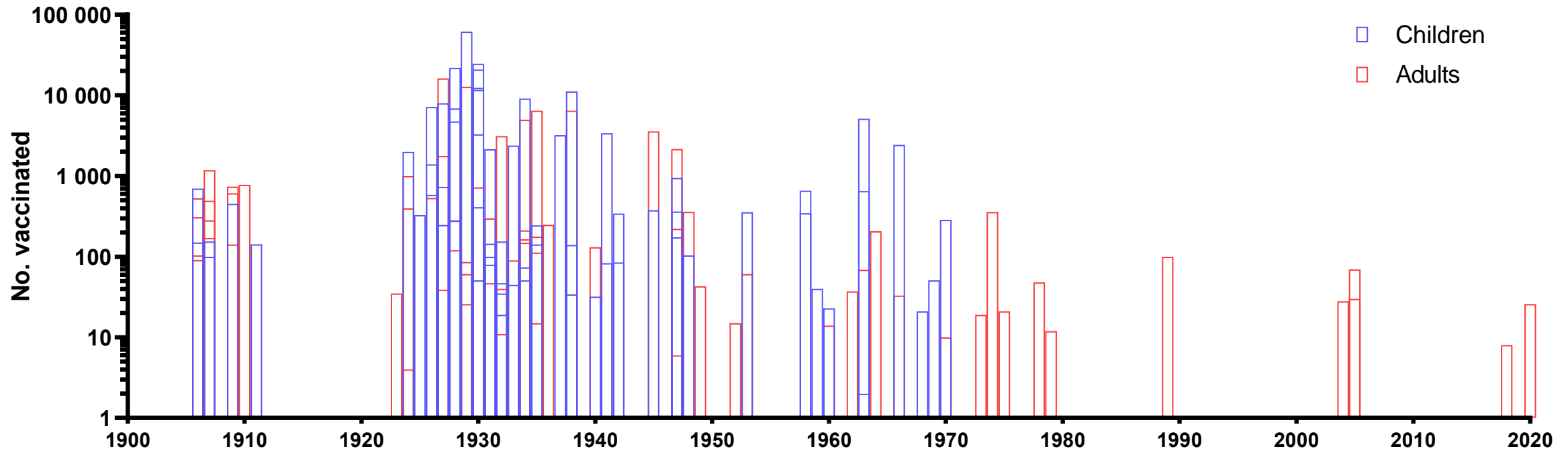


**Strep A Vaccine Global Consortium**  
<https://savac.ivi.int/>

- History of GAS vaccine safety
- Framework for *S. pyogenes* vaccine safety assessment
- Pathways and opportunities for vaccine safety evaluation
- Review of safety evaluation of current GAS vaccines in development
- Challenging examples from other vaccines with safety concerns
- Safety assessment guide – paving the future
- Regulatory considerations – the opportunity post-COVID

# History of GAS vaccines safety

- 135 different human *S. pyogenes* vaccine trials between **1796 to 2019**
- Estimated **>320,000 subjects** inoculated with **investigational GAS vaccines**



# History of Vaccination against GAS in 20th century



***S. pyogenes***  
Recognized  
Scarlet Fever

1796

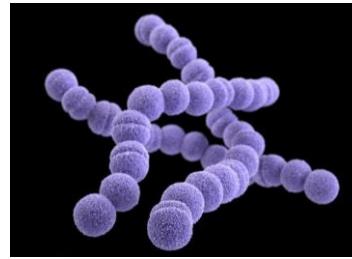
1884-85



**Lancefield**  
***S. pyogenes***  
M types

1925

1928-40



**Heat killed GAS vaccines**  
Military and med students  
M-protein immunity  
**No protection**

1940

1940-1960



**B. Massell**  
Good Samaritan  
Hospital, Boston

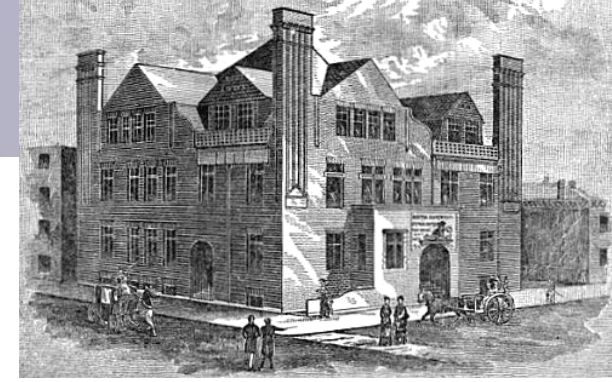
1965-1967

Section of Epidemiology and State Medicine.  
President—Dr. JOHN C. McVAIL.  
  
**Immunization against Scarlet Fever.**  
By S. MONCKTON COPEMAN, F.R.S., M.D., F.R.C.P.  
(Emeritus Lecturer on Public Health, Westminster Hospital Medical School.)

TYPE-SPECIFIC PROTECTION AND IMMUNITY FOLLOWING  
INTRANASAL INOCULATION OF MONKEYS WITH  
GROUP A HEMOLYTIC STREPTOCOCCI\*  
  
By ROBERT F. WATSON, M.D.,  
Lieutenant Commander, Medical Corps, United States Naval Reserve,  
SIDNEY ROTHBARD, M.D., AND HOMER F. SWIFT, M.D.

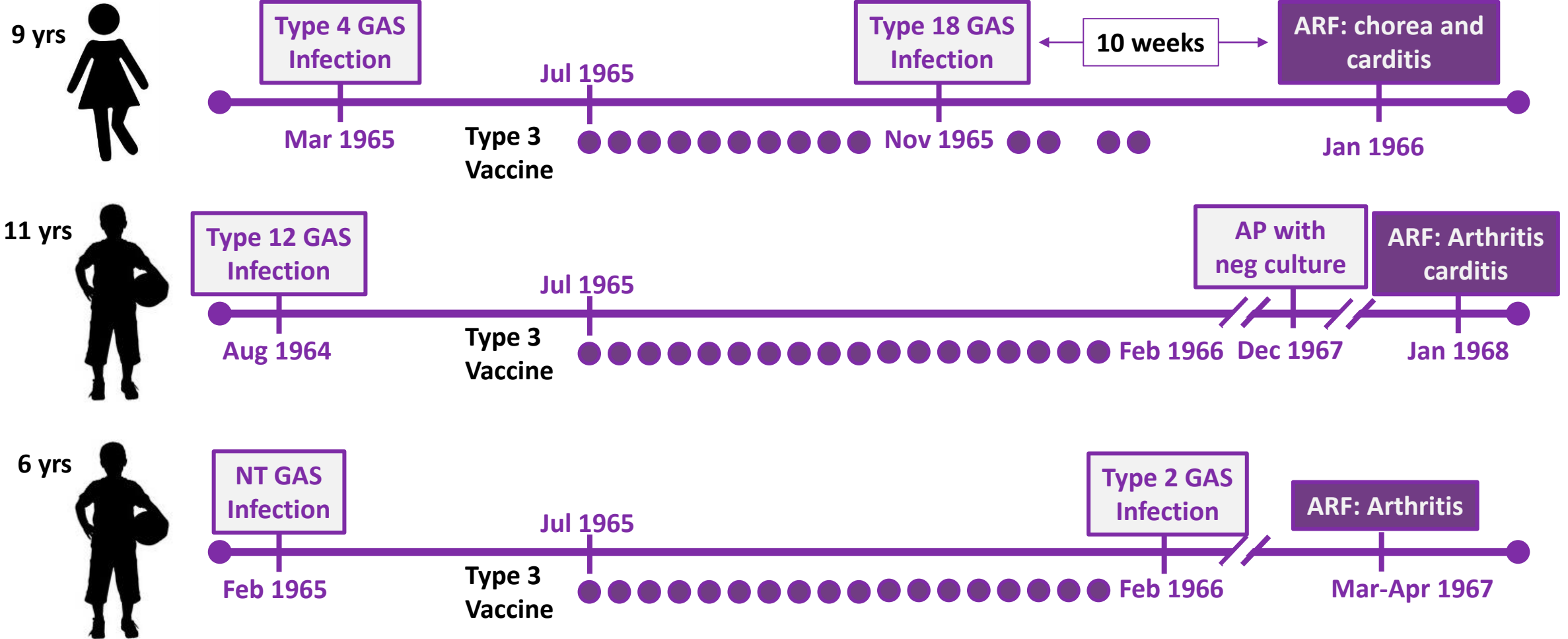
**Children inoculated with Dick toxin (3 doses in 3 weeks)**  
*“not given rise to any serious reaction locally or constitutionally”*  
**Immediate AE: Scarletiform rash and fever**  
>165,000 vaccinated, from the 1920s, mostly USA

# The Massell GAS type 3 M-protein vaccine study



- Conducted between 1965 and 1967 at House of the Good Samaritan, Children's Hospital Medical Center and the Department of Pediatrics, Harvard Medical School in **Boston**
- Hot-acid extracted **M protein of a type 3 S. pyogenes** partially purified using ribonuclease and dissolved in thiomersal
- 21 healthy siblings of randomly selected from 106 patients with rheumatic fever
- **Weekly SQ injections** of gradually increasing concentrations due to reactogenicity **(18 to 33 weeks)**
- 30 months observation - 18 episodes of *S. pyogenes* pharyngitis (none were type 3)
- **Comparison group:** Historical cohort of nonvaccinated children (all siblings of patients with rheumatic fever) observed for 15 years - 447 episodes of *S. pyogenes* pharyngitis and **5 cases of rheumatic fever (1%)**.

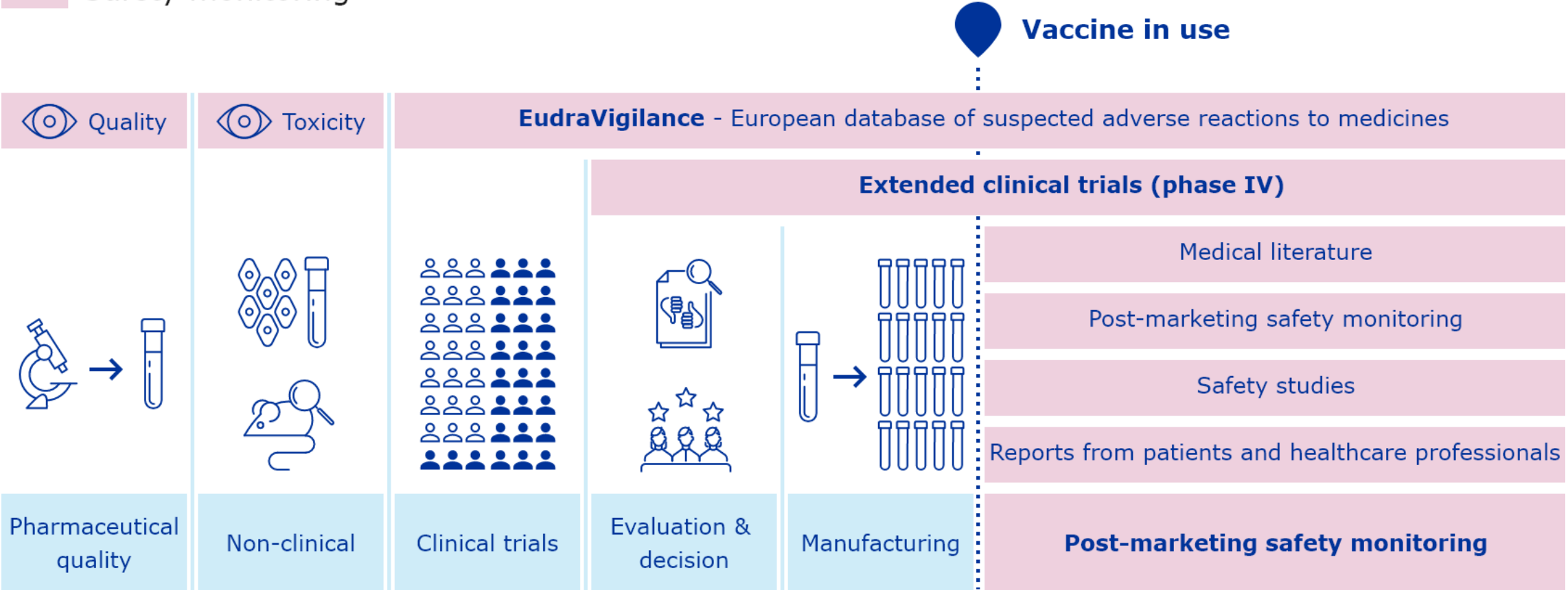
# SAEs of GAS M type 3 Vaccine Study



AR baseline 0.9-1.1% vs **11.1%** in 18 siblings vaccinated

# Vaccine Safety Evaluation Pathway

- Vaccines development phases
- Safety monitoring

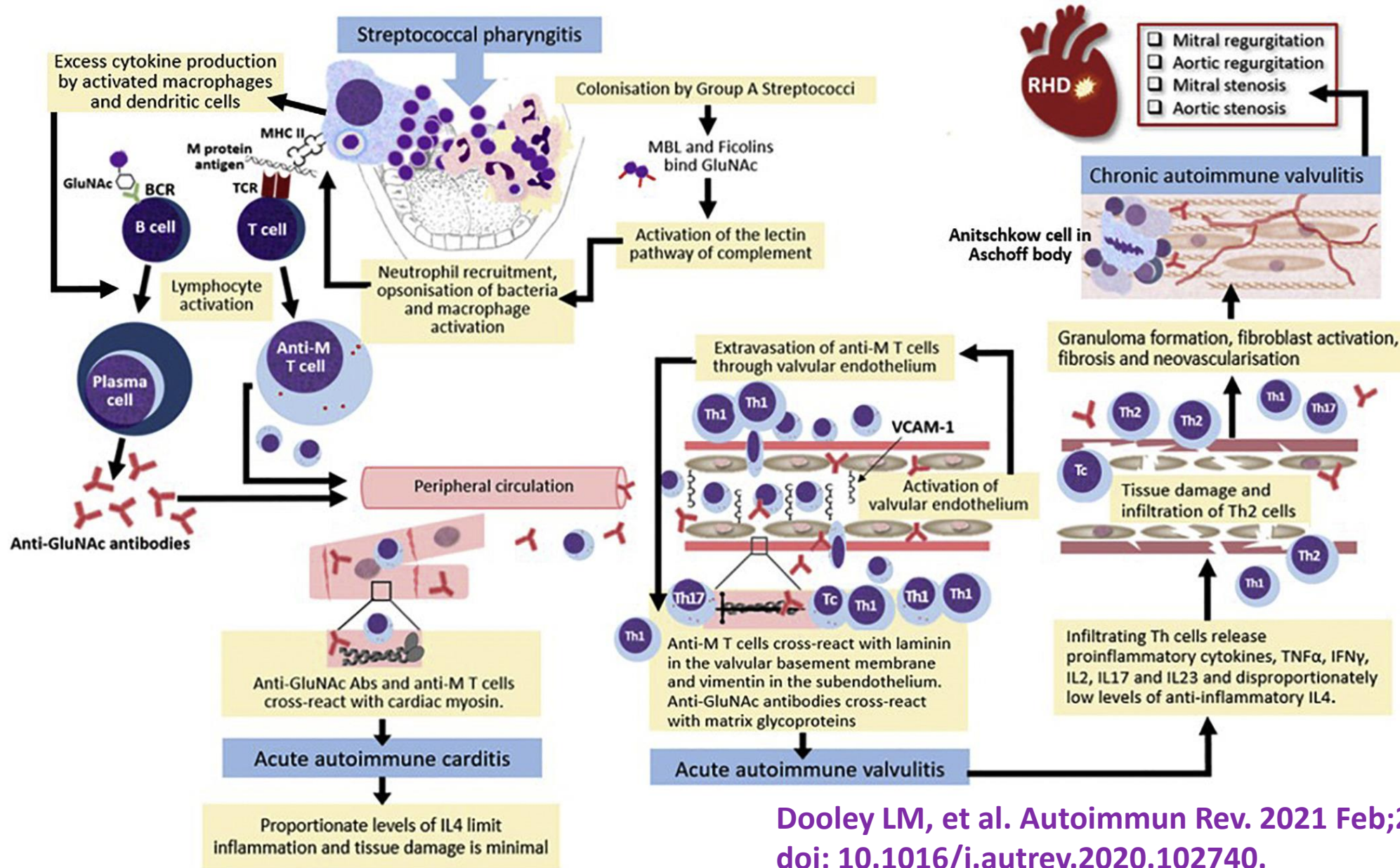


# Framework to Anticipate/Investigate Vaccine Safety

- **Clues from Natural History of GAS infections/complications**
  - Background rates of GAS infection complications
  - Biomarkers for disease severity and sequelae
- **Clues from GAS Vaccine Preclinical Studies**
- **Most recent GAS phase I studies**
- **Use of vaccine safety methods and causality assessment framework for GAS safety assessment during phase II and III studies**
- **Regulatory Considerations**



# Immuno-pathogenesis of ARF and RHD



Dooley LM, et al. *Autoimmun Rev.* 2021 Feb;20(2):102740.  
doi: 10.1016/j.autrev.2020.102740.

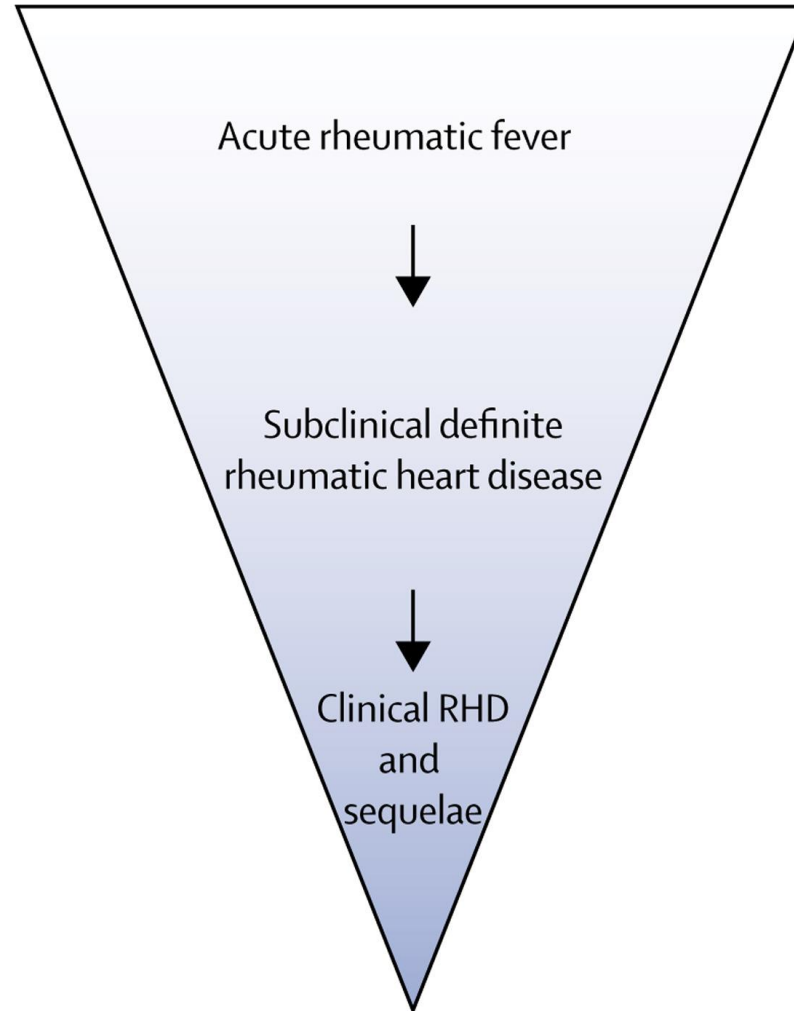
# Measuring background rates and endpoints of interest

## Methods to estimate incidence and prevalence

Continuous and active surveillance of the community for cases of ARF

Echocardiographic screening of children (5–14 years) using standardised criteria

Community surveys, hospital-based registries, administrative databases, and vital registration systems



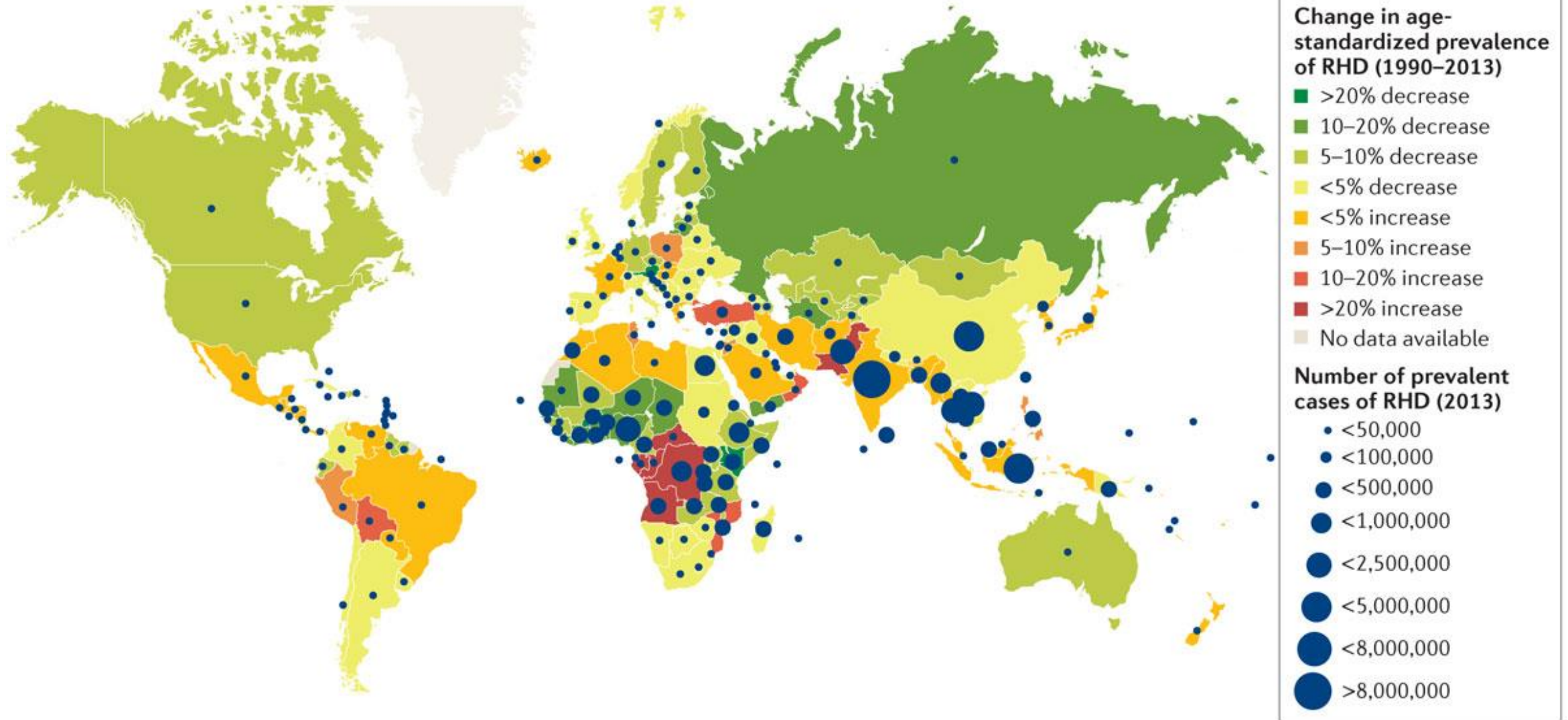
## Advantages and disadvantages of estimation methods

Ideal metric of ARF incidence; estimation is resource-intensive and not feasible in low-income countries

Pragmatic surrogate for ARF incidence and time-trends; easily measured, repeatable, and less costly

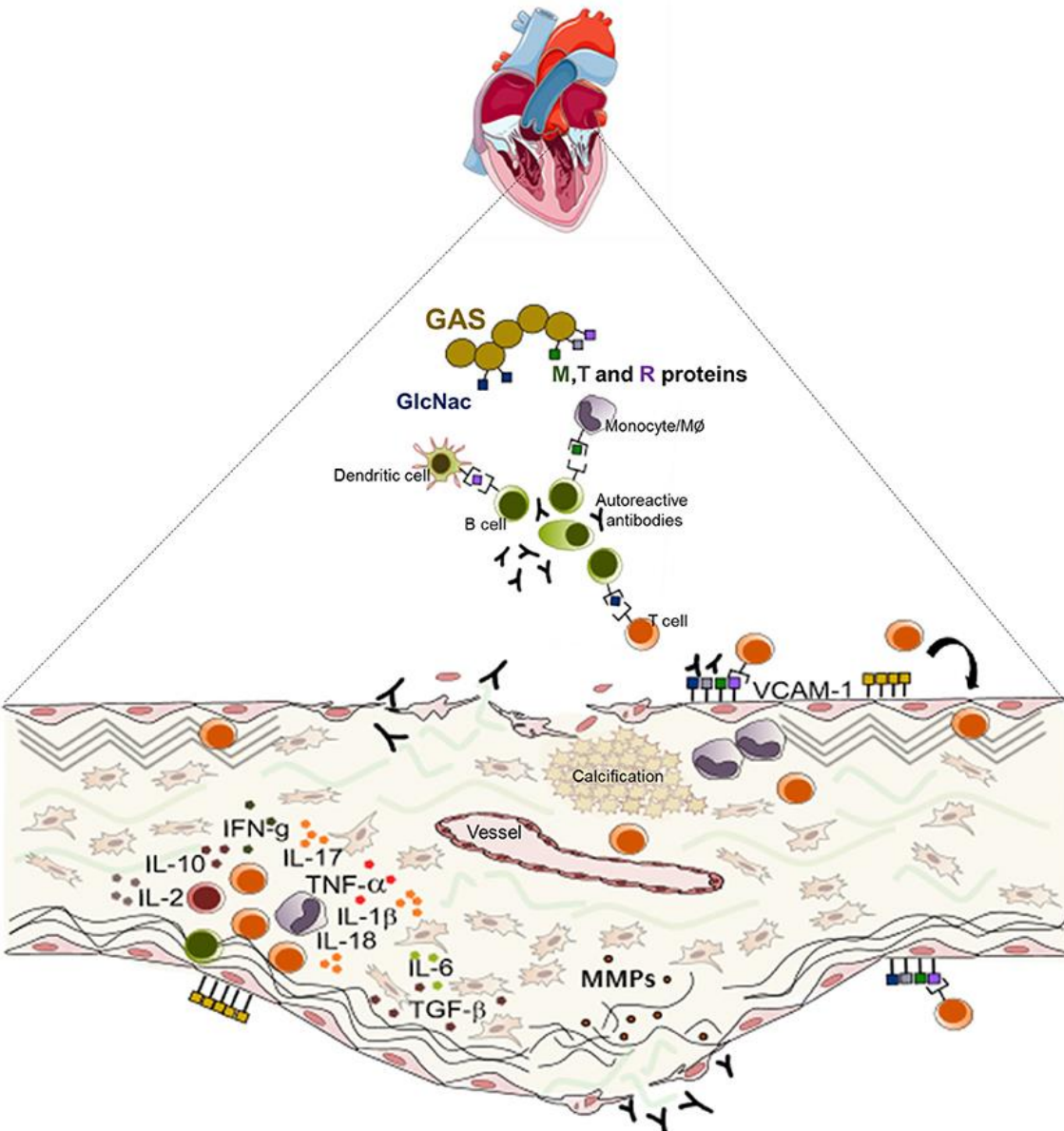
Poor surrogate for ARF incidence, but can mirror long-term trends in ARF incidence\*

# Burden of RHD as background rates for Safety



Vaccine studies likely to concentrate in countries with high incidence/prevalence  
If ARF/RHD is an efficacy and safety endpoint, background rates are critical

# ARF Pathogenesis and biomarkers for GAS safety



Process or Marker	Causality component	Immune/non-immune surrogate
Autoimmune reaction B-cells T-cells	Biological plausibility Molecular Koch's postulates	TLR2 (-308A, -238 G), FCN2 (G/G/A), MASP2 (371D, 377V, 439R), MBL (A, O) MIF (-173CC), FCγ RIIa (393A)
GAS carbohydrate epitope N-acetyl-β- d -glucosamine (GlcNac)	Biological plausibility Molecular Koch's postulates	
Upregulation of VCAM-1	Biological plausibility Molecular Koch's postulates	
Cardiac myosin Ag	Challenge/rechallenge Dose responses	T-cell reactivity
Susceptibility to ARF or other autoimmune phenomena		HLA class II genes (several HLA-DR and DQ alleles)

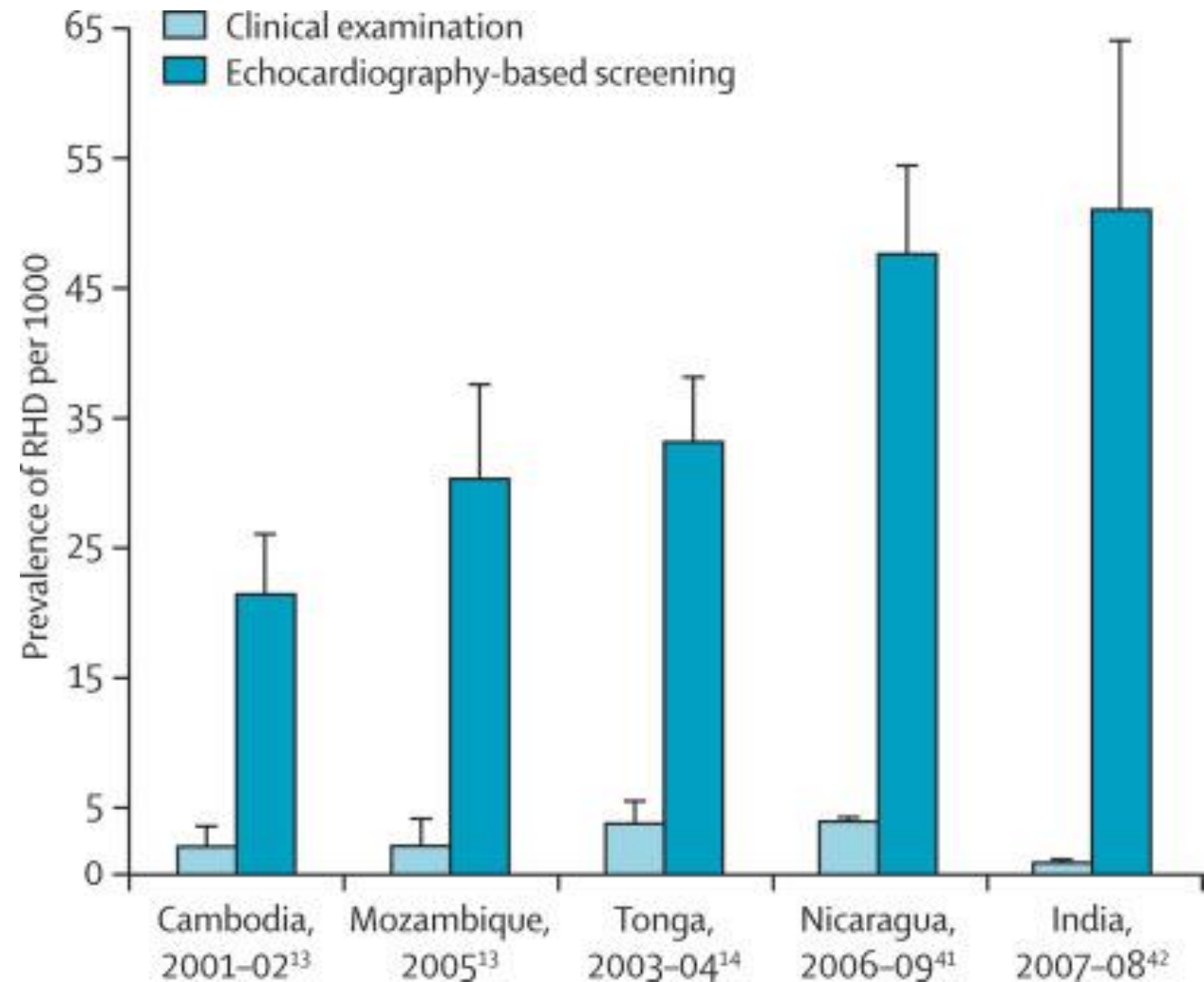
# Limitations of biomarkers for GAS Vaccine Safety

- No well-defined immune markers that could act as a surrogate for risk of ARF development.
- Significant gaps in knowledge of mechanistic correlates of ARF/RHD development and biomarker identification
- Natural infection studies are warranted, as well as application of innovative immune-profiling technologies before and during trials
- Development of biologic time windows for sequelae of GAS infection may inform vaccine safety assessment
- **Jones criteria with echo will be essential for vaccine safety evaluation**



# Echocardiography vs. clinical ascertainment of RHD

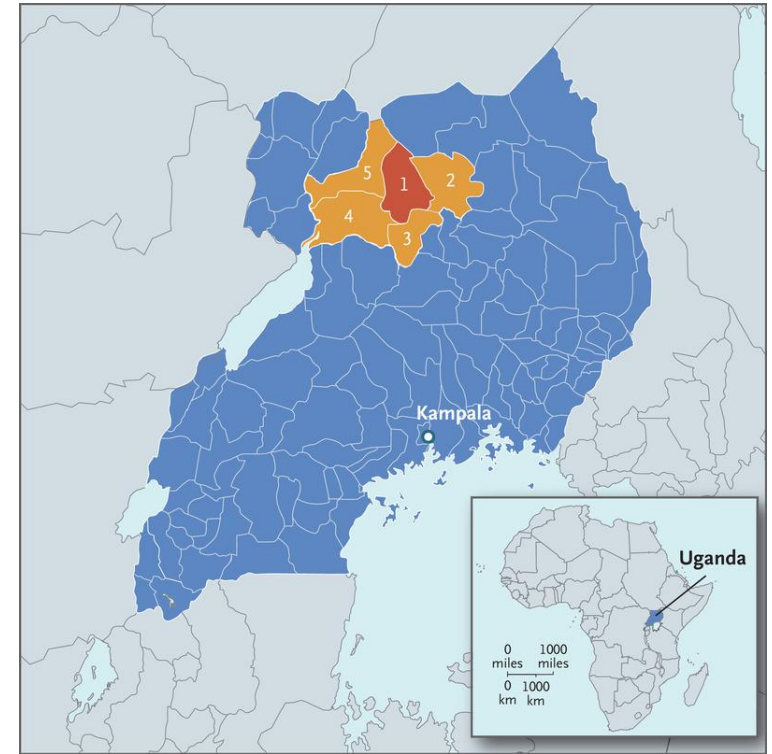
- RHD Case detection rate when using **echo- cardiography-based screening is 10x greater** than that achieved by careful clinical examination alone.
- Simple on-site 5-10 minute protocol per child to screen for valvular lesions with a referral for confirmation. needed
- Issues remaining
  - Absence of **gold-standard** echo criteria for subclinical RHD
  - Optimum management strategy for patients with clinically silent and mild valvular abnormalities.



# Echo diagnosis of RHD in schools: a moving target

- 102,200 children 5-17 years of age in Uganda screened
- **3,327 (3.3%) positive screening echocardiogram**
- **916 with latent RHD randomized and followed up**

Variable	PNC Prophylaxis (n=409)	Control Group (n=409)		
<b>RHD category</b>				
Borderline	328 (80.2%)	339 (82.9%)		
Definite	81 (19.8%)	70 (17.1%)		
Sore throat past 4 wks	78 (19.1%)	67 (16.4%)		
Skin infection past 4 wks	26 (6.4%)	26 (6.4%)		
<b>Progression or Regression of Latent RHD at 2 years</b>			<b>Risk Ratio (95%CI)</b>	<b>p-value</b>
Progression – No. (%)	3 (0.8%)	33 (8.2%)	0.09 [0.03-0.29]	<0.001
<b>Regression – No. (%)</b>	<b>195 (48.9%)</b>	<b>191 (47.8%)</b>	<b>1.03 [0.89-1.19]</b>	





# *S. pyogenes* (GAS) vaccines in development (5 trials, 195 subjects)

Trial	Product	Dose Regimen	Control	Population	N	Design	Regulatory Agency
<b>Hexavalent Phase I [75]</b>	Hexavalent Prototype; N-terminal peptides M1,3,5,6,19 & 24	Successive cohorts received: <ul style="list-style-type: none"> <li>• 50 µg IM; on days 0, 28 and 56 (N=8)</li> <li>• 100 µg IM; on days 0, 28 and 112 (N=10)</li> <li>• 200 µg IM; on days 0, 28 and 112 (N=10)</li> </ul>	None	Healthy adults, 18 – 50 years	29	Open-label, dose-escalation	<b>US FDA</b>
<b>Adult Phase I [76]</b>	StreptAvax 26-valent N-terminal M peptides	400 µg IM on days 0, 28 and 120	None	Healthy adults, 18 – 50 years	30	Open-label	<b>Health Canada</b>
<b>Adult Phase II [77]</b>	StreptAvax 26-valent	400 µg IM on days 0, 28 and 180*	Hepatitis A vaccine	Healthy adults, 18 – 50 years	90	Randomized double-blind, comparator-controlled (70 StreptAvax, 20 comparator)	<b>Health Canada</b>
<b>Adult Phase I [56]</b>	StreptAnova 30-valent, N-terminal M peptides	600 µg IM on days 0, 28 and 180	Selected licensed vaccines	Healthy adults, 18 – 50 years	36	Randomized double-blind, comparator-controlled (23 StreptAnova, 13 comparator)	<b>Health Canada</b>
<b>Adult Phase I [78]</b>	MJ8VAX (J8-DT) C-terminal 29 aa M peptide	50 µg IM on days 0	Saline	Healthy adults, 20 – 44 years	10	Randomized double-blind, placebo-controlled (8 MJ8VAX, 2 placebo)	<b>QIMR Human Research Ethics Committee</b>

# Comparison of Safety Assessment in recent GAS vaccine trials

Safety Evaluation	Hexavalent Prototype Multivalent M [75]	26-valent (Phase I) Multivalent M [76]	26-valent (Phase II) Multivalent M [77]	30-valent (Phase I) Multivalent M [56]	J8-DT Conserved C-terminal M peptide C conjugate [78]
Reactogenicity Diary	7-days	14-days	14-days	14-days	7-days
Cardiac and Neuro clinical examination	0.5, 6 & 12 months No Neuro	7 and 14 days after each dose	7 and 14 days after each dose	7 and 14 days after each dose	0.5, 6 , 9 & 12 m No Neuro
Echocardiogram & ECG screening	14 days after each dose, & 6 & 12 m	Baseline and 1 month after 3 <sup>rd</sup> dose	Baseline and 1 month after 3 <sup>rd</sup> dose	Baseline and 1 month after 3 <sup>rd</sup> dose	Baseline, 1, 3 and 12 months
Routine clinical labs + troponin-I, C3, CRP	Baseline screen	Baseline screen	Baseline screen	Baseline screen and when clinically indicated	Baseline screen and 1, 6 , 9 & 12 months
Human tissue cross-reactive antibodies by IFA	14 days after each dose, & 5 and 12 m	1 month after doses 2 and 3	1 month after doses 2 and 3	14 days after each dose	Serum stored screen & day 350 for future assays
Long term AE follow-up	12 months	12 months	12 months	12 months	12 months

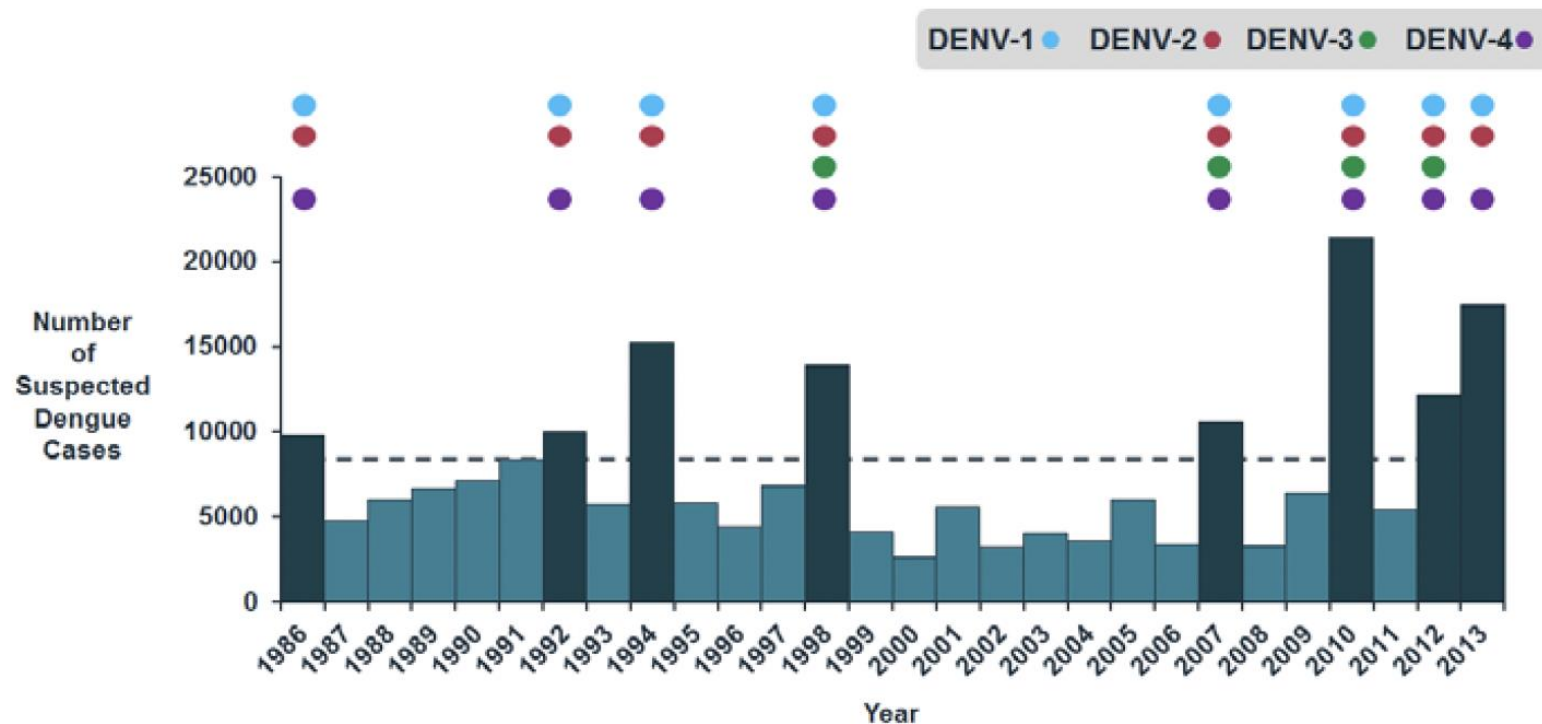
# Outcomes of recent 4 phase I and 1 phase II GAS vaccine trials

Clinical Trial	Population	N	Phase I	Phase II	Phase III
<b>Hexavalent M-protein</b> [75]	Healthy adults 18 – 50 years	29	Mild local reactions: <ul style="list-style-type: none"> <li>6/29 subjects (29%) <math>\leq</math>7 days post dose 1</li> <li>12/28 (43%) <math>\leq</math>28 days post 2 or 3 dose</li> <li>1 moderate reaction: neutropenia and borderline low C3 (not vaccine related)</li> <li><b>No Echocardiography</b></li> </ul>		
<b>StreptAvax 26-valent</b> [76] [77]	Healthy adults 18 – 50 years	30 & 90	<ul style="list-style-type: none"> <li>Headache (40%–53%)</li> <li>Tiredness (17%–23%)</li> <li>Sore joints 3%–7%</li> <li>Muscle aches in 13%–17%</li> <li><b>Echo and ECG normal</b></li> </ul>	<ul style="list-style-type: none"> <li>Most AEs were local, mild and self-limited.</li> <li>Systemic AEs uncommon &amp; similar to Havrix™ control</li> </ul>	
<b>StreptAnova 30-valent</b> [56]	Healthy adults 18 – 50 years	36	<ul style="list-style-type: none"> <li>Muscle aches post dose 2 statistically significant (44.0% vs. 0.0%)</li> <li>Drowsiness (38.5%)</li> <li>No SAEs</li> <li>Local AEs mild (1 subject g3 redness)</li> <li><b>Echo and ECG normal</b></li> </ul>		
<b>MJ8VAX (J8-DT) C-terminal 29 AA M peptide</b> [78]	RCT Healthy adults 20 – 44 years	10	<ul style="list-style-type: none"> <li>13 AEs: 2 associated to vaccine: 1 with headache and 1 with abdominal pain</li> <li>No changes in anti-streptococcal Ab</li> <li><b>Echo and ECG normal</b></li> </ul>		

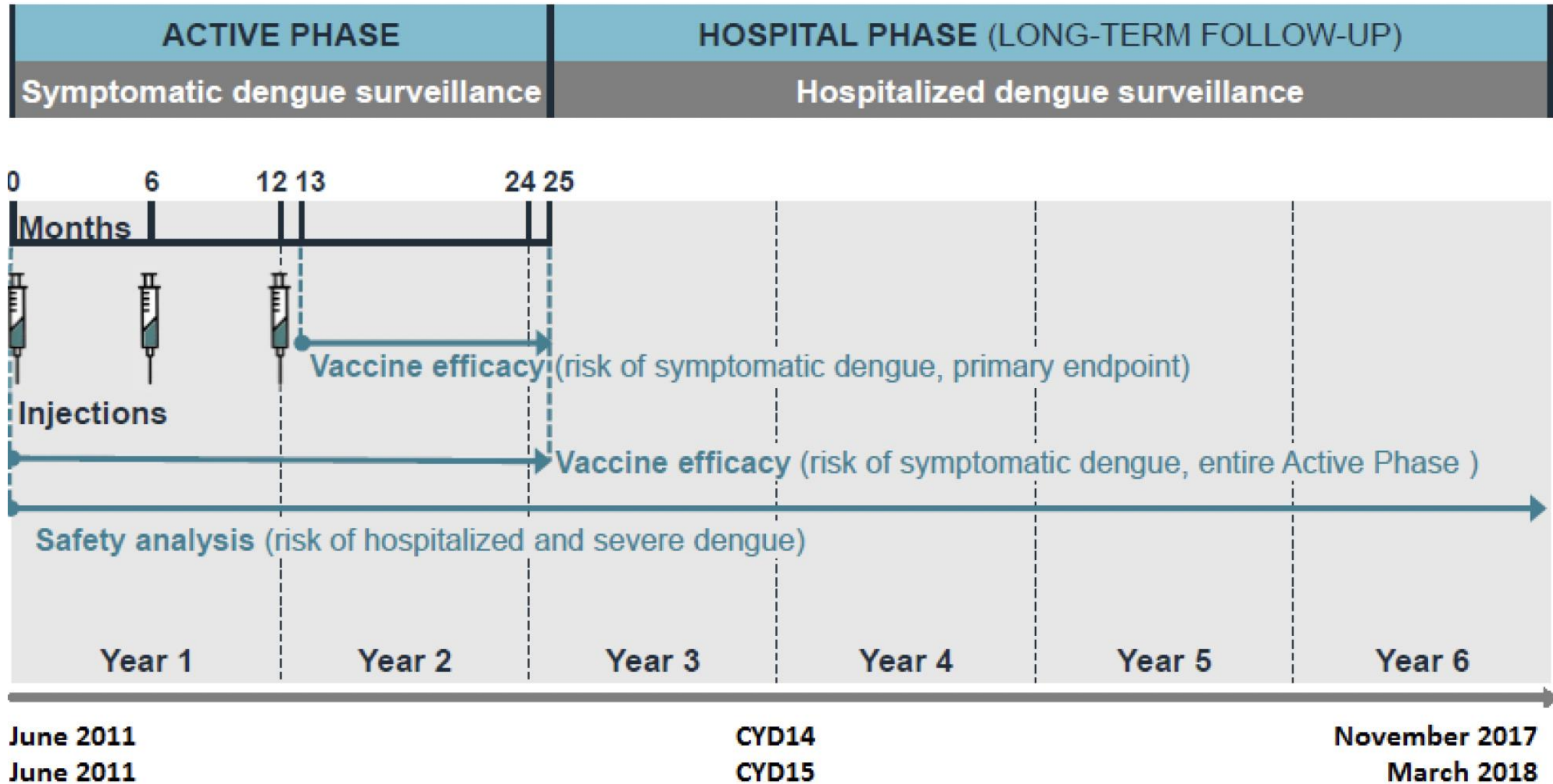
# GAS infection and its similarities to other VPD (Dengue)

- Caused by different serotypes
- Seasonal and inter-year variability
- Variability of incidence among populations
- Cross protection may be important but also a risk for more severe disease expression

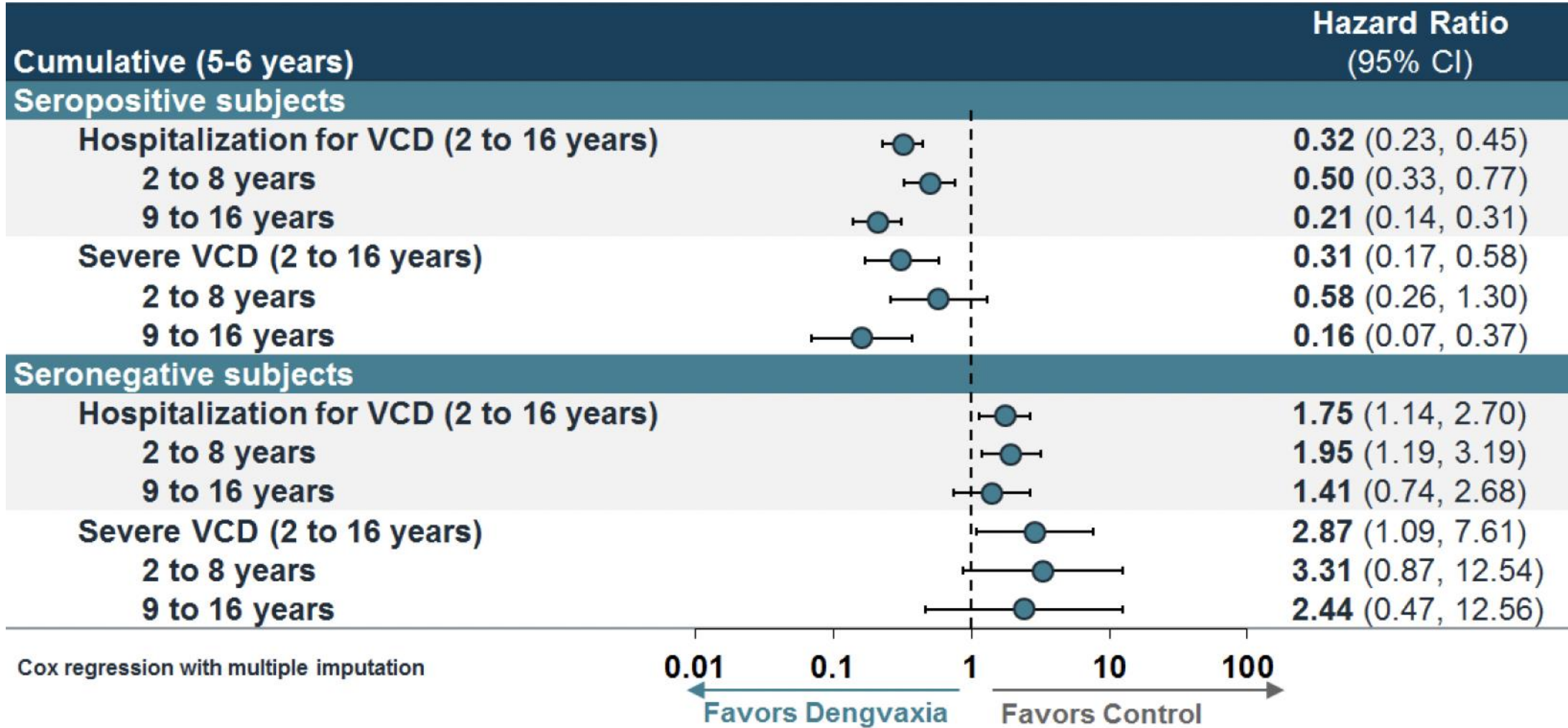
Figure A: Dengue is endemic in Puerto Rico with periodic epidemics (1986-2013)



# Dengue vaccines study design to accommodate Safety



# Risk of hospitalization from dengue according to serostatus and age



ddd

# Proposed Safety Monitoring Phase IIb and III studies

Safety Monitoring Category	Variables	Frequency
<b>Common Safety</b>	<ul style="list-style-type: none"> <li>• Clinical exam and V/S</li> <li>• Immediate Local and Systemic Reactions</li> <li>• Daily local and systemic reactogenicity</li> <li>• Unsolicited adverse events</li> <li>• SAE and SUSAR</li> <li>• Adverse events of special interest</li> <li>• Routine laboratories</li> </ul>	D#1,7,14 post each dose 60 minutes Daily up to 7 days Daily up to 28 days Duration of study Duration of study D#1,7,14 post each dose
<b>Strep A-specific assessments</b>	<ul style="list-style-type: none"> <li>• Non-specific inflammation parameters: CRP, C3, C4</li> <li>• GAS culture monitoring</li> <li>• anti-DNase or anti-streptolysin O (ASO)</li> <li>• anti-tissue responses (heart, kidney, myelin)</li> </ul>	Baseline, D14 and every 3 months Baseline and every 3 months?
<b>Cardiac function assessment</b>	<ul style="list-style-type: none"> <li>• Need for ECG</li> <li>• Need for Echocardiogram? (nested, only MAE?)</li> </ul>	Baseline and end of FU Baseline, q12 months and illness

# Standardization of Safety Outcome measures for GAS vaccines

Given the scarcity of solid data to recommend tools for measuring safety/efficacy outcomes, probably important to convene expert groups in:

## 1) Echocardiography

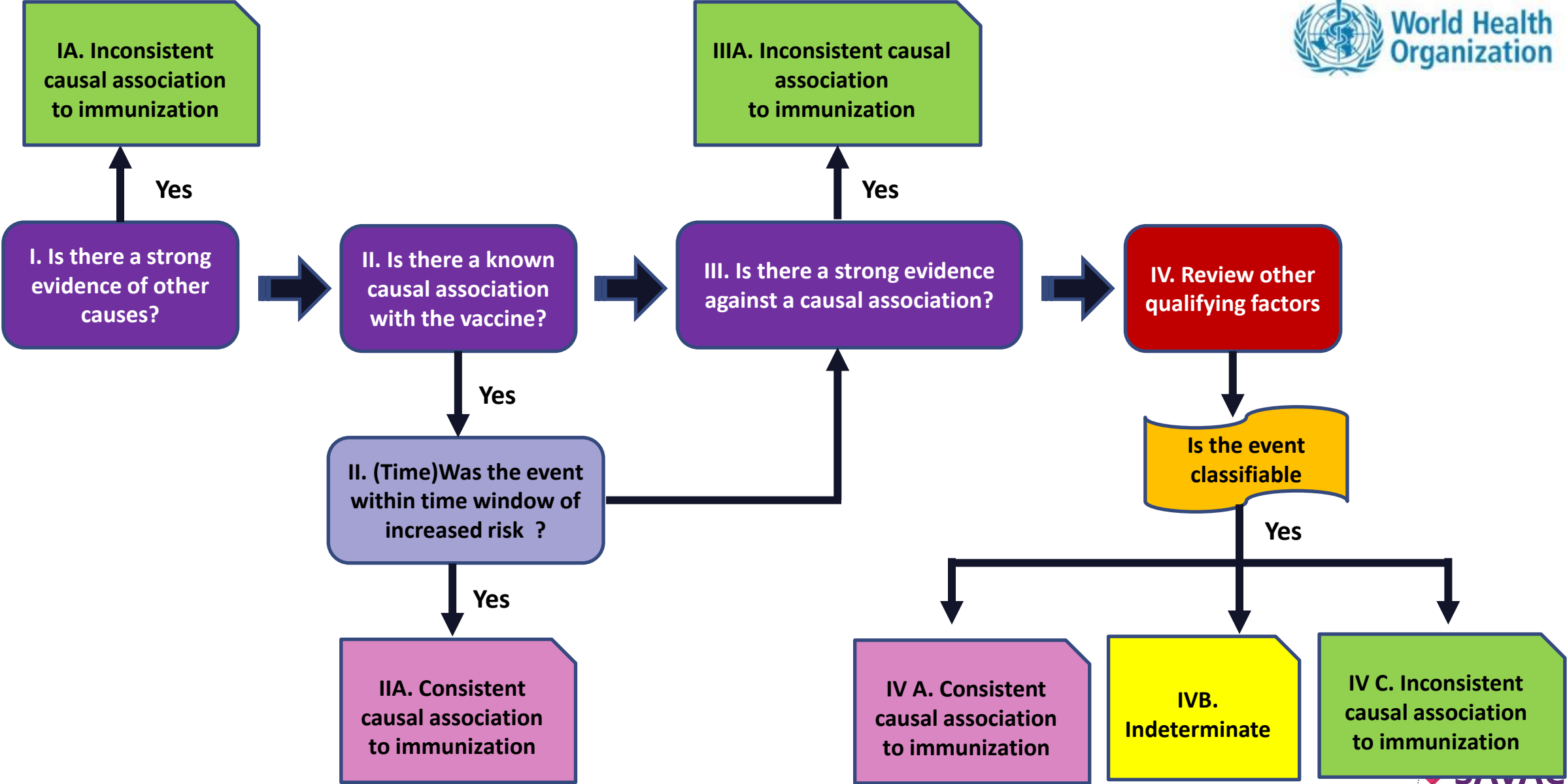
- Pre-trial validity of criteria and age/illness standards
- Optimal times for measurement (baseline? Post-dose? Illness?)
- Instrument standardization and interpretation guide

## 2) Screening assays for Cross-Reactive Proteins (ELISA-based)

- Possible CR antigens:
  - » Identical amino acid sequences in different proteins
  - » Similar protein structures shared among different proteins
  - » Diverse molecules such as DNA, carbohydrates and proteins
- Pre-defined **normal ranges across pre-and post-immune sample differences**



# Use of WHO CAP to evaluate AEFI for GAS Vaccine



# Safety parameters required for Causality Assessment

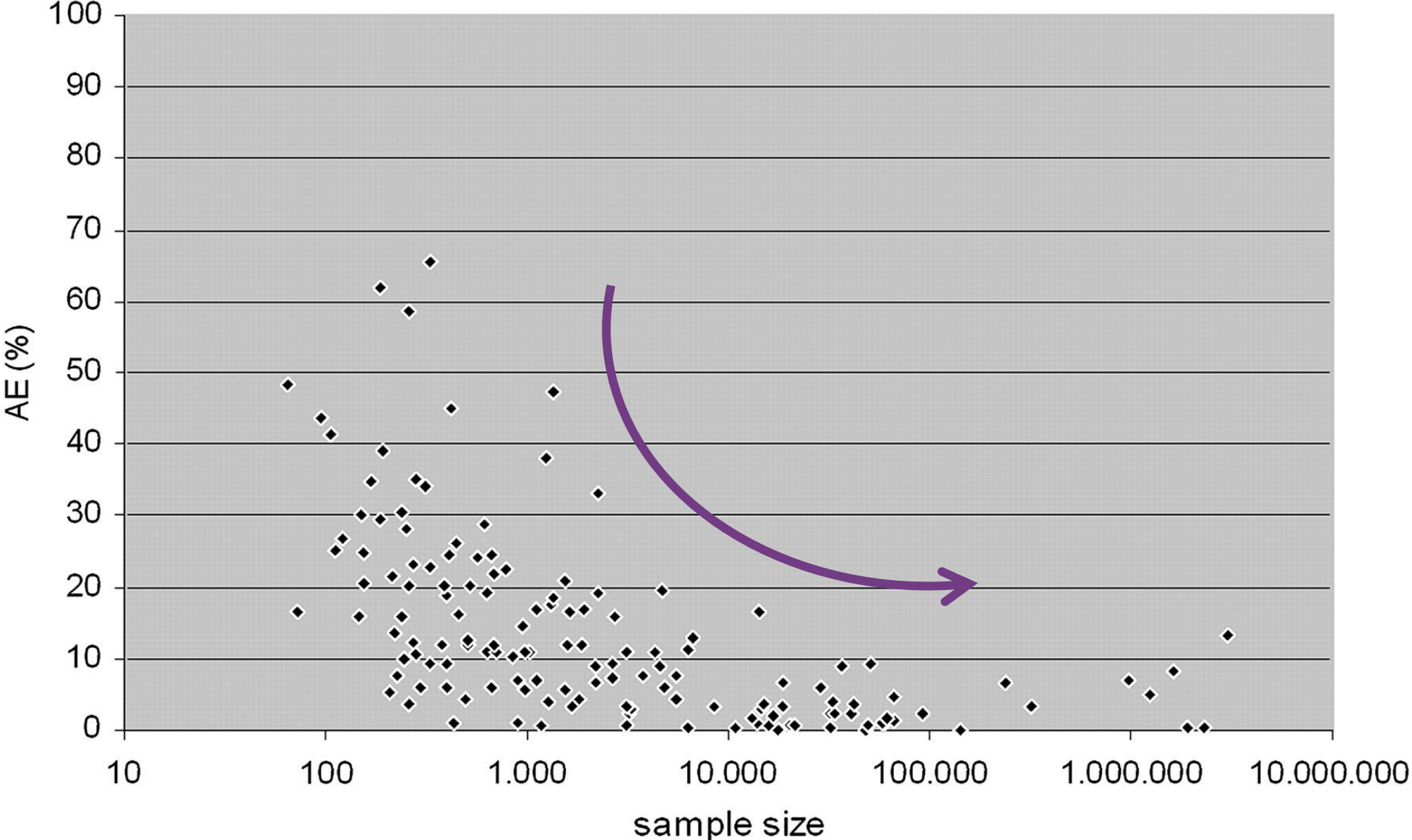
Requirement	Parameter	Sources
<b>Background rates of possible safety signals</b>	<ul style="list-style-type: none"> <li>• Incidence/prevalence of ARF/RHD</li> <li>• Incidence/prevalence of proteinuria and CKD</li> <li>• Others</li> </ul>	Retrospective studies Prospective surveillance
<b>Case Definitions</b>	<ul style="list-style-type: none"> <li>• ARF and RHD</li> <li>• Severity and certainty case definitions for possible AEFI signals</li> </ul>	Consensus guidelines Brighton Collaboration development
<b>Safety Assessment Methods</b>	<ul style="list-style-type: none"> <li>• Self controlled case series methods</li> <li>• Immuno-profiling of cases and controls</li> <li>• Minimum incidence rates</li> </ul>	Multiple sources Experience with other vaccine clinical trials
<b>Guidelines for Causality Assessment of SUSAR, AESI</b>	<ul style="list-style-type: none"> <li>• Adaptation of WHO AEFI causality assessment guideline</li> <li>• Development of alternative causes guide to investigate AESI cases</li> <li>• Laboratory parameters and agreed assays</li> </ul>	

# Size of safety database to support licensure (FDA)

- Expectations for the size of the safety database\* are typically discussed at end of **phase 2 or earlier**.
- Factors considered include:
  - Characteristics of the vaccine
  - Review of early-phase safety data
  - Any safety signals or theoretical safety issues
  - Target population (children)
  - Seriousness of disease targeted for prevention
- **For preventive vaccines, the size of the safety database is typically on the order of several thousand population**

# Rare AEFIs will require larger samples sizes

Lessing C, et al Qual Saf Health Care. 2010 Dec;19(6):e24. doi: 10.1136/qshc.2008.031435





# The Rotavirus Vaccine Phase III studies (Safety concern)

- **Objective: Safety of Rotavirus vaccine** with respect to definite intussusception (IS) within 31 days (Day 0 to Day 30) after each HRV vaccine dose in all subjects (**N = 60,000**).
- **Upper limit 95%CI of Risk Difference was below 6/10,000,**

## Large scale cohort (n=68,000) for:

- Evaluation of Safety Endpoint
- Efficacy of vaccine against hospitalization and ED visits

## Clinical efficacy cohort (n=5,600)

- Efficacy against RVGE
- Efficacy against RVGE office visits

# Complexity of New Vaccines Present Challenges to NRAs

- New technologies used in product development
- Quality and process validation concepts
- Evaluation of non-clinical and clinical data for novel vaccines
- **Testing capacity**, e.g., assay development and evaluation
- **Risk benefit assessment** as part of product evaluation
- Review of risk management plans
- **Specific pharmacovigilance commitments and phase IV studies**
- Assessment of potential Public Health Impact particularly for vaccines for which efficacy may be lower than generally observed

# Regulatory Considerations for GAS Vaccine Safety

- **Adverse of special interest (AESI) based on:**
  - Product-specific mechanism of action
  - Platform and vaccine composition
  - Preclinical data and the cumulative clinical safety experience: should include all severe GAS-related disease manifestations
- **Detect all new-onset GAS infections that can result in ARF/RHD**
- Antibiotic treatment regimen of new-onset GAS infections should be standardized in vaccine trials
- **Need for long term follow up of GAS vaccine study participants (post-marketing to include identified and potential risks)**



# Conclusions on GAS Vaccine Safety Guidance

- New complex vaccines with **partial protection and concerns for immune-related adverse events** pose a challenge for developers and regulators, but:
  - Technological advances now could provide solutions
  - Definition of public health outcomes of interest and background of AESI
- New development phases (IIb and III) for GAS vaccine need consensus in the next 2 years on:
  - Validity and usability of echocardiography and cross-reactive test for ARF
  - Framework of vaccine safety assessment including duration of follow up
- Safety of GAS vaccines should **not be a barrier** to development: other vaccines are overcoming similar obstacles (RV, dengue, Zika, COVID-19)

# Acknowledgements

- Wellcome Trust
- SAVAC Executive Committee
- Initiative for Vaccine Research, World Health Organization (WHO IVR).
- SAVAC Safety Working Group

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Thank you  
Gracias

